| AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRA  |                          |  |  | 1. CONTRAC                             | T ID CODE                             | PAGE OF PAGES         |  |
|---|--------------------------|--|--|--|---------------------------------------|-----------------------|--|
|   |                          |  |  |  |                                       | 1 2                   |  |
| 2. AMENDMENT/MODIFICATION NO. 3. E<br>\$001   | FFECTIVE DATE 4          | REQUISITION/PU   | REQUISITION/PURCHASE REQ. NO. 5. PROJECT NO. (If applicable) |  |                                       | T NO. (If applicable) |  |
| 6. ISSUED BY CODE   |                          | ADMINISTERED B   | 3Y (If o   | ther than Item 6                       | ) Code                                |                       |  |
| U.S. Department of Energy   |                          |  |  |  |                                       |                       |  |
| Chicago Office  |                          |  |  |  |                                       |                       |  |
| 9800 South Cass Avenue  |                          |  |  |  |                                       |                       |  |
| Argonne, IL 60439   |                          |  |  |  |                                       |                       |  |
| 8. NAME AND ADDRESS OF CONTRACTOR (No. street, county, State and ZIP Code)  |                          |  |  | (✓) 9.A. AMENDMENT OF SOLICITATION NO. |                                       |                       |  |
| ·   | •                        | DE-RP02-06CH11359  |  |  |                                       |                       |  |
| To All Prospective Offerors   |                          |  | 9.B. DATED (SEE ITEM 11)                                     |  |                                       |                       |  |
| · ·   |                          |  | 07/10/06   |  |                                       |                       |  |
|   |                          |  | 10.A. MODIFICATION OF Contract/Order NO.                     |  |                                       |                       |  |
|   |                          |  |  |  |                                       |                       |  |
|   |                          |  | 10.B. DATED (SEE ITEM 13)                                    |  |                                       |                       |  |
|   | CILITY CODE              |  |  |  | ==                                    | <u> </u>              |  |
| 11. THIS ITEM   | ONLY APPLIES TO          | AMENDMENTS   | SOF  | SOLICITATION                           | ONS                                   | <del></del>           |  |
| The above numbered solicitation is amended not extended.  | as set forth in Item 14. | The hour and date sp                                       | pecified   | for receipt of O                       | ffers 🔲 is                            | extended, 🔀 is        |  |
|   |                          |  |  |  |                                       |                       |  |
| Offers must acknowledge receipt of this amendmen<br>methods: (a) By completing Items 8 and 15, ar   |                          |  |  |  |                                       |                       |  |
| each copy of the offer submitted; or (c) By separate  |                          |  |  |  |                                       |                       |  |
| FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE  |                          |  |  |  |                                       |                       |  |
| HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this |                          |  |  |  |                                       |                       |  |
| amendment, and is received prior to the opening h   | our and date specified.  | su each telegram or  | iettei ii  | iakes reference                        | to the Soliciz                        | IDON AND UNS          |  |
| 12. ACCOUNTING AND APPROPRIATION DAT  |                          | <u> </u>   |  | <del></del>                            |                                       | <del></del>           |  |
| N/A   |                          |  |  |  |                                       |                       |  |
| 13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS,  |                          |  |  |  |                                       |                       |  |
| IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.  Check One A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN   |                          |  |  |  |                                       |                       |  |
| THE CONTRACT ORDER NO. IN ITEM 10A  |                          |  |  |  |                                       |                       |  |
| B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes   |                          |  |  |  |                                       |                       |  |
| in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).   |                          |  |  |  |                                       |                       |  |
| C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:  |                          |  |  |  |                                       |                       |  |
| D. OTHER (Specify type of modification and authority)   |                          |  |  |  |                                       |                       |  |
| E. IMPORTANT: Contractor ⊠ is not, ☐ is required to sign this document and return copies to the issuing office.   |                          |  |  |  |                                       |                       |  |
| 14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)   |                          |  |  |  |                                       |                       |  |
| ,   |                          |  |  |  |                                       |                       |  |
| This Request For Proposal is amended as follows:  |                          |  |  |  |                                       |                       |  |
|   |                          |  |  |  |                                       |                       |  |
|   |                          |  |  |  |                                       |                       |  |
| See Page 2  |                          |  |  |  |                                       |                       |  |
|   |                          |  |  |  |                                       |                       |  |
|   |                          |  |  |  |                                       |                       |  |
|   |                          |  |  |  |                                       |                       |  |
| Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in   |                          |  |  |  |                                       |                       |  |
| full force and effect.  15A. NAME AND TITLE OF SIGNER (Type or print)  16A. NAME A  |                          |  | NO TITLE OF CONTRACTING OFFICER OF THE ALASTA                |  |                                       |                       |  |
| TO A TANNE AND THEE OF SIGNER (Type of Pri  | 100. NAIVIE AI           | 16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) |  |  |                                       |                       |  |
|   |                          | Robert   | P. Go  | rdon, Contra                           | cting Office                          | er<br>-               |  |
| 15B. CONTRACTOR/OFFEROR   | 15C. DATE SIGNE          | D 16B. UNITED S  | STATE  | S OF AMERICA                           | \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ | 16C. DATE SIGNED      |  |
|   |                          | 110  | ه مدا  | 19                                     | /                                     | - · -                 |  |
|   |                          | BY   | Z/   | Bond                                   |                                       | 7-21-06               |  |
| (Signature of person authorized to sign   | <u> </u>                 | (Signatu   | ire of C   | ontracting Office                      | er)                                   | _                     |  |

## 14. DESCRIPTION OF AMENDMENT/MODIFICATION

- Part I, Section C <u>DESCRIPTION/SPECS./WORK STATEMENT</u>. The DRAFT watermark has been deleted from all pages in this section. There is no wording change to the text.
- b. Part I, Section H, Clause H.21 <u>WORKFORCE TRANSITION, CONTRACTOR</u>
  <u>COMPENSATION, BENEFITS AND PENSION</u>. The last paragraph in this clause is hereby made the introductory paragraph in this clause. There is no wording change to the text.
- c. Part I, Section H, Clause H.42 <u>ACTIVITIES DURING CONTRACT TRANSITION</u> (<u>SPECIAL</u>). In paragraph (a)(6)(B)(iv), the reference H.21(b)(6) is changed to H.21(b)(7).
- d. Part II, Section I <u>CONTRACT CLAUSES</u>, TABLE OF CONTENTS. Clause I.10 CENTRAL CONTRACTOR REGISTRATION (OCT 2003) is hereby changed to Clause I.10 CENTRAL CONTRACTOR REGISTRATION (JULY 2006).
- e. Part II, Section I, Clause I.10 <u>CENTRAL CONTRACTOR REGISTRATION (OCT 2003)</u>. This clause is hereby updated as follows:
  - "Clause I.10 FAR 52.204-7 CENTRAL CONTRACTOR REGISTRATION (JULY 2006)
  - (a) Definitions. As used in this clause —

"Central Contractor Registration (CCR) database" means the primary Government repository for Contractor information required for the conduct of business with the Government.

"Data Universal Numbering System (DUNS) number" means the 9-digit number assigned by Dun and Bradstreet, Inc. (D&B) to identify unique business entities.

"Data Universal Numbering System +4 (DUNS+4) number" means the DUNS number assigned by D&B plus a 4-character suffix that may be assigned by a business concern. (D&B has no affiliation with this 4-character suffix.) This 4-character suffix may be assigned at the discretion of the business concern to establish

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additional CCR records for identifying alternative Electronic Funds Transfer (EFT) accounts (see the FAR at Subpart 32.11) for the same parent concern.

"Registered in the CCR database" means that —

- (1) The Contractor has entered all mandatory information, including the DUNS number or the DUNS+4 number, into the CCR database; and
- (2) The Government has validated all mandatory data fields, to include validation of the Taxpayer Identification Number (TIN) with the Internal Revenue Service (IRS), and has marked the record "Active". The Contractor will be required to provide consent for TIN validation to the Government as a part of the CCR registration process.
- (b) (1) By submission of an offer, the offeror acknowledges the requirement that a prospective awardee shall be registered in the CCR database prior to award, during performance, and through final payment of any contract, basic agreement, basic ordering agreement, or blanket purchasing agreement resulting from this solicitation.
  - (2) The offeror shall enter, in the block with its name and address on the cover page of its offer, the annotation "DUNS" or "DUNS +4" followed by the DUNS or DUNS +4 number that identifies the offeror's name and address exactly as stated in the offer. The DUNS number will be used by the Contracting Officer to verify that the offeror is registered in the CCR database.
- (c) If the offeror does not have a DUNS number, it should contact Dun and Bradstreet directly to obtain one.
  - (1) An offeror may obtain a DUNS number
    - (i) If located within the United States, by calling Dun and Bradstreet at 1-866-705-5711 or via the Internet at http://www.dnb.com; or
    - (ii) If located outside the United States, by contacting the local Dun and Bradstreet office.

- (2) The offeror should be prepared to provide the following information:
  - (i) Company legal business.
  - (ii) Tradestyle, doing business, or other name by which your entity is commonly recognized.
  - (iii) Company Physical Street Address, City, State, and ZIP Code.
  - (iv) Company Mailing Address, City, State and ZIP Code (if separate from physical).
  - (v) Company Telephone Number.
  - (vi) Date the company was started.
  - (vii) Number of employees at your location.
  - (viii) Chief executive officer/key manager.
  - (ix) Line of business (industry).
  - (x) Company Headquarters name and address (reporting relationship within your entity).
- (d) If the Offeror does not become registered in the CCR database in the time prescribed by the Contracting Officer, the Contracting Officer will proceed to award to the next otherwise successful registered Offeror.
- (e) Processing time, which normally takes 48 hours, should be taken into consideration when registering. Offerors who are not registered should consider applying for registration immediately upon receipt of this solicitation.
- (f) The Contractor is responsible for the accuracy and completeness of the data within the CCR database, and for any liability resulting from the Government's reliance on inaccurate or incomplete data. To remain registered in the CCR database after the initial registration, the Contractor is required to review and update on an annual basis from the date of initial registration or subsequent updates its information in the CCR database to ensure it is

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current, accurate and complete. Updating information in the CCR does not alter the terms and conditions of this contract and is not a substitute for a properly executed contractual document.

- (g) (1) If a Contractor has legally changed its business (i) name, "doing business as" name, or division name (whichever is shown on the contract), or has transferred the assets used in performing the contract, but has not completed the necessary requirements regarding novation and change-ofname agreements in Subpart 42.12, the Contractor shall provide the responsible Contracting Officer a minimum of one business day's written notification of its intention to (A) change the name in the CCR database; (B) comply with the requirements of Subpart 42.12 of the FAR; and (C) agree in writing to the timeline and procedures specified by the responsible Contracting Officer. The Contractor must provide with the notification sufficient documentation to support the legally changed name.
  - (ii) If the Contractor fails to comply with the requirements of paragraph (g)(1)(i) of this clause, or fails to perform the agreement at paragraph (g)(1)(i)(C) of this clause, and, in the absence of a properly executed novation or change-of name agreement, the CCR information that shows the Contractor to be other than the Contractor indicated in the contract will be considered to be incorrect information within the meaning of the "Suspension of Payment" paragraph of the electronic funds transfer (EFT) clause of this contract.
  - (2) The Contractor shall not change the name or address for EFT payments or manual payments, as appropriate, in the CCR record to reflect an assignee for the purpose of assignment of claims (see FAR Subpart 32.8, Assignment of Claims). Assignees shall be separately registered in the CCR database. Information provided to the Contractor's CCR record that indicates payments, including those made by EFT, to an ultimate recipient other than that Contractor will be considered to be incorrect information within the meaning of the "Suspension of payment" paragraph of the EFT clause of this contract.

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(h) Offerors and Contractors may obtain information on registration and annual confirmation requirements via the internet at <a href="http://www.ccr.gov">http://www.ccr.gov</a> or by calling 1-888-227-2423, or 269-961-5757."

(End of clause)

- f. Part III, Section J, Appendix I <u>DOE Directives/List B and Fermilab Work Smart Standards Set</u>. DOE Notice 473.9 Security Conditions is hereby deleted.
- g. Part IV, Section L, Provision L.4 <u>SCIENCE APPROACH AND MANAGEMENT STRATEGY AND APPROACH</u>. In paragraph (c), under "<u>Written Material</u>", the following sentence is added to the end of the paragraph: "Describe the extent of participation by each team member, if any, including the number of individuals committed to contract performance."
- h. Part IV, Section L, Provision, L.10 <u>ORAL PRESENTATIONS</u>. In paragraph (d), the date "September 14, 2006" is deleted and replaced with "September 18, 2006".

**END OF AMENDMENT**